

Tony Kershaw
Director of Law and Assurance

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15 July 2020

Regulation, Audit and Accounts Committee

A virtual meeting of the Committee will be held at **10.30 am on Thursday, 23 July 2020.**

Note: In accordance with regulations in response to the current public health emergency, this meeting will be held virtually with members in remote attendance. Public access is via webcasting.

The meeting will be available to watch live via the Internet at this address:

<http://www.westsussex.public-i.tv/core/portal/home>

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Agenda

1. Declarations of Interest

Members and officers must declare any pecuniary or personal interest in any business on the agenda. They should also make declarations at any stage such an interest becomes apparent during the meeting. Consideration should be given to leaving the meeting if the nature of the interest warrants it. If in doubt please contact Democratic Services before the meeting.

2. Minutes

(a) Minutes of the last formal meeting of the Committee held on 20 January 2020 (Pages 5 - 8)

The Committee is asked to agree the minutes of the meeting held on 20 January 2020 (cream paper).

(b) Notes from the informal, virtual meeting with Members of the Regulation, Audit and Accounts Committee on 3 April 2020 (Pages 9 - 22)

The Committee is asked to note the notes of the informal, virtual meeting with Members of the Regulation, Audit and Accounts Committee held on 3 April 2020 (cream paper).

3. **Urgent Matters**

Items not on the agenda which the Chairman of the meeting is of the opinion should be considered as a matter of urgency by reason of special circumstances.

4. **External Audit**

The Committee is asked to consider the 2019/20 Audit Planning Updates for the West Sussex County Council and the West Sussex Pension Fund from the External Auditor EY.

(a) **West Sussex County Council Audit Planning Report Update** (Pages 23 - 32)

(b) **West Sussex Pension Fund Audit Planning Report Update** (Pages 33 - 38)

5. **Internal Audit - Annual Audit Report 2019 / 20** (Pages 39 - 58)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to approve the annual audit report for the year ended 31 March 2020.

6. **Internal Audit Plan 2020/21** (Pages 59 - 84)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to approve the contents of the Internal Audit Plan for 2020/21 and the Fraud Plan 2020/21.

7. **Quarterly Review of the Corporate Risk Register** (Pages 85 - 98)

Report by the Director of Finance and Support Services.

The Committee is asked to review the information detailed in the report and the current Corporate Risk Register, and provide comment as necessary.

8. **Draft Annual Governance Statement 2019/20** (Pages 99 - 128)

Report by the Director of Law and Assurance.

The Committee is asked to comment on the draft Annual Governance Statement for 2019-20.

9. **Regulation of Investigatory Powers** (Pages 129 - 152)

Report by Director of Law and Assurance.

The Committee is asked to review and endorse a revised and updated policy for the Council's use of specific investigation powers available for types of enforcement work for which the Council is responsible.

10. **Treasury Management Compliance Report - First Quarter 2020/21**
(Pages 153 - 160)

Report by the Director of Finance and Support Services.

The Committee is asked to review and comment on the Treasury Management Compliance Report.

11. **Work Programme 2020/21** (Pages 161 - 162)

Programme by the Director of Law and Assurance.

The Committee is asked to agree the outline work programme for 2020/21 and agree any further priority items for addition to the programme.

12. **Date of Next Meeting**

The next meeting of the Committee is scheduled for 25 September 2020 at a time, location and format to be confirmed.

To all members of the Regulation, Audit and Accounts Committee